NEW YORK STATE | Liquor Authority

Wholesaler Feedback Form: Wholesaler Fees

The Authority is seeking industry-wide feedback regarding the types, amounts, and operation of fees, charges, deductions, incentives, and other financial obligations that have ever been charged to businesses by wholesalers.

Please complete the questions below based on your business's experience. Answer each question as fully as possible and provide specific examples, amounts, or supporting information where requested. If a question does not apply to your business, please mark the response as "N/A." If you need additional space, you may attach a separate document.

Comments submitted to the New York State Liquor Authority are public records and may be subject to disclosure under the Freedom of Information Law (FOIL). If your submission contains trade secrets or confidential commercial information that you believe should be exempt from disclosure, please clearly identify and mark the specific information as "Proprietary and Confidential - Contains Trade Secrets - Not for Public Disclosure" and include a written description explaining the basis for the requested exemption. You may also provide claimed trade secret information in a separate exhibit or addendum that is marked as such.

Company Information

Legal Name:

Premises County:

Please identify the approximate number of individuals employed by your company in New York State and nationwide:

Please identify the New York wholesale licensee or licensees on whose behalf the response is submitted and the type or types of wholesale licenses held.

License #

Primary License Type

Secondary License Types *(if applicable)*

Please indicate whether the New York licensed wholesaler is independently owned and operated or is owned or controlled by a parent or affiliated company.

If applicable, please identify the parent or controlling entity.

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Information on current fees and charges

For each fee or charge currently assessed by your company to a New York State licensed retailer, manufacturer, or supplier, please provide the following information. Reasonable estimates are acceptable where exact figures are not readily available.

Examples of fee and charge categories to consider include: *delivery charges and surcharges, including fuel, transportation, special-delivery, redelivery, minimum-order, or order-size charges; split-case fees; late, collection, payment-processing, or returned-payment charges; storage, warehousing, inventory-management, or Bill and Hold charges; administrative, handling, data, technology, reporting, or account-management charges; breakage, damaged-product, shortage, or return charges; marketing, promotional, advertising, or merchandising charges; new-product, listing, or portfolio charges; termination, breakup, or transition fee; interest charges; any other separately assessed charge, surcharge, invoice add-on, or fee.*

Name and a brief description of fee or charge.

☐ *Mark as Trade Secret*

Amount of fee and how amount is calculated.

☐ *Mark as Trade Secret*

Date fee was first assessed and whether fee was being charged before May 27, 2026.

☐ *Mark as Trade Secret*

Any change made on or after May 27, 2026 to the fee's amount, methodology, name, purpose, or manner of assessment, including date and nature of change.

☐ *Mark as Trade Secret*

Circumstances in which fee is charged, including alcoholic beverage category, products or brands, retailers, transactions, order sizes, delivery locations, geographic areas, and other factors to which it applies.

☐ *Mark as Trade Secret*

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Business justification for fee and specific costs, expenses, or services the fee is intended to recover or address.

☐ *Mark as Trade Secret*

Is fee intended to recover actual costs, estimated costs, incremental costs, or another measure of cost or expense, and methodology used to establish amount.

☐ *Mark as Trade Secret*

Are any costs or expenses recovered through the fee also included in the posted product price or through other fees or charges, and if so, how the company distinguishes costs recovered through each.

☐ *Mark as Trade Secret*

Is fee included or disclosed in price postings, terms of sale, invoices, statements, contracts, notices, ordering systems, or other documents, together with representative copies where available.

☐ *Mark as Trade Secret*

Does fee vary by retailer, supplier, product or brand, order size, delivery location, delivery frequency, payment method or status, geographic area, wholesaler entity, or other factor.

☐ *Mark as Trade Secret*

Can a retailer or supplier avoid the fee through pickup, order size, delivery schedule, payment method, or another option, and circumstances under which fee may be avoided.

☐ *Mark as Trade Secret*

Is fee ever waived, reduced, credited, refunded, or rebated; criteria used; and if criteria are uniformly applied to similarly situated retailers or suppliers.

☐ *Mark as Trade Secret*

Approximate number of New York retailer and supplier transactions on which the fee was assessed during most recent 12-month period and approximate total amount collected through the fee during that period.

☐ *Mark as Trade Secret*

Any records, analyses, cost studies, or other materials used to establish or periodically review amount or methodology of the fee.

☐ *Mark as Trade Secret*

Any existing statute, regulation, Authority advisory, declaratory ruling, or other guidance that your company believes addresses or should inform the Authority's treatment of the fee.

☐ *Mark as Trade Secret*

Please feel free to include other information on a separate page and attach any additional exhibits, supporting documents and supplemental pages to this form.