rev-06/9/26

NEW YORK STATE | Liquor Authority

PERMIT

NEW YORK STATE LIQUOR AUTHORITY
ALCOHOLIC BEVERAGE CONTROL
WINE/ LIQUOR AUCTION

This application must be accompanied by the appropriate fee in check or money order ONLY, made payable to the New York State Liquor Authority. Cash will NOT be accepted.

APPLICATIONS SUBMITTED WITHOUT THE PROPER FEE AND/OR WITHOUT THE PROPER ATTACHMENTS WILL BE DISAPPROVED.

Type of Permit	Fee	Non-Refundable Filing Fee	Total Fee per Point of Sale per date
***Wine/Liquor Auction (AS620)	$3,200.00	$0	$3,200.00

Please check, date, and intial below to acknowledge understanding of the following:

☐ **All required fields must be completed**

☐ **DO NOT MAKE COPIES OF THIS APPLICATION**
EACH NEW SUBMISSION WILL REQUIRE YOU TO CONTACT US FOR A NEW APPLICATION

Date: _____ Initials of Person Filling this Application: _____

Completed applications and any supporting information should be mailed to:

NEW YORK STATE LIQUOR AUTHORITY
PO Box 782772
Philadelphia, PA 19178-2772

PERMIT

Application Type: **Wine/ Liquor Auction**

THIS SECTION MUST BE COMPLETED BY ALL APPLICANTS

Name of Applicant:

Trade Name (if applicable):

Business street address of applicant:

City: State: Zip Code:

County: FEIN or SS Number:

Telephone No. (include area code): Applicant Fax Number:

Applicant E-Mail Address (required):

Active NYS License YES ◯ NO ◯ NYS License ID Number:

Representative

Name of Representative:

Business street address of applicant:

City: State: Zip Code:

County: FEIN or SS Number: Birth Date:

Telephone No. (include area code):

E-Mail Address:

Are you representing as an Attorney? YES ◯ NO ◯

Notes:

PERMIT

THIS AUTHORIZATION IS TO BE SIGNED AND DATED

The following authorization must be signed and dated by an individual applicant, each member of a partnership or an authorized officer of a corporation.

The undersigned, each for herself/himself, certifies that she/he is the applicant or applicant principal of the above named; that she/he knows the contents of the above application and the statements contained therein; and that the same are true of her/his own knowledge. If the applicant is a corporation then the corporate principal acknowledges that she/he has been authorized, by order of the Board of Directors of said applicant corporation to make the statements and answers in this application on behalf of said corporation with the same force and effect as if said corporation made such statements and answers itself. The undersigned certifies that she/he has read the terms and conditions for the permit applied for and agrees to comply with these conditions.

Print name of applicant or principal signing authorization
(If a partnership, all partners must sign)

Title of principal

☐ *By checking this box I agree, and it is my intent, to electronically sign this document. By submitting this e-document to the New York State Liquor Authority in this way, I understand that my electronic signature I added to the signature line below is the legal equivalent of having placed my handwritten signature and affirmation on the submitted document and I am affirming the truth of the information contained therein.*

Signature of applicant or principal

Dated

Print name of applicant or principal signing authorization
(If a partnership, all partners must sign)

Title of principal

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Signature of applicant or principal

Dated

PERMIT

Wine & Liquor Auction Terms and Conditions- 99(G)

The permit is issued for a calendar year and allows the holder to conduct up to twenty four(24) auctions during that period. The permittee may also conduct wine tasting on the premises of any location approved by the Authority for conducting wine/liquor auctions, subject to the following conditions:

1. Only retail off-premises licensees who have been licensed for ten years or more may apply for the permit.

2. The wine/liquor auction permit is in addition to any required local permits.

3. A final list of wineries attending an auction is required to be kept by the applicant as a part of the books and records.