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NEW YORK STATE OF OPPORTUNITY. State Liquor Authority

Permit

NEW YORK STATE LIQUOR AUTHORITY

INSTRUCTIONS & APPLICATION
for

ALCOHOLIC BEVERAGE CONTROL MISCELLANEOUS II PERMITS

CONTENTS

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DIRECTIONS FOR COMPLETING THE PERMIT APPLICATIONS

- Must be **TYPED**
- Complete applicable forms **FULLY.**
- Follow instructions carefully.
- Retain a completed copy of the application for your records.

Completed applications and any supporting information should be mailed to:

NEW YORK STATE LIQUOR AUTHORITY
PO BOX 782777
PHILADELPHIA, PA 19178-2772

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Description of Permits and Instructions

ALCOHOLIC BEVERAGE EDUCATION PERMIT - $270 (Annual Permit); $25 (1 Day Permit)

Complete pages 1 through 4 and attach the course curriculum. Be sure to select the correct type of permit and complete the signature sheet. This application is to be used by a person conducting a class or seminar designed to increase participants' knowledge and appreciation of wine and/or other alcoholic beverages. Such permit shall allow the participants of the class or seminar to imbibe or taste such alcoholic beverages. The permit does not allow for the solicitation of orders or sale of alcohol. The permit is available for either a one day or twelve month period.

CRAFT BEVERAGE TASTING EVENT PERMIT - $ 36

Complete pages 1 through 3. Be sure to select the correct type of permit and complete the signature sheet. This application is to be used by a not-for-profit organization conducting an event where alcoholic beverage manufacturers or wholesalers will be providing samples and/or selling their products and where the not-for-profit is charging attendees. If there is no charge to a person to attend the event, the not-for-profit organization does not need to obtain a permit. The not-for-profit organization is responsible for making sure that all attending manufacturers and wholesalers have the proper permit to participate in the event. Only one permit is needed for each day of an event, regardless of the number of manufacturers and/or wholesalers participating in the event.

DISTRIBUTOR'S ALCOHOL PERMIT (A, B, C, D)

Complete pages 1through 3. Be sure to select the correct type of permit and complete the signature sheet.

Class "A" Permit, Fee - $**4,820.00** (3 years) shall authorize the holder thereof to sell and distribute to licensed rectifiers alcohol which is to be used for beverage purposes and to all holders of permits issued pursuant to §91, §92, and §92-a of the Alcoholic Beverage Control Law.

Class "B" Permit, Fee - $**2,420.00** (3 years) shall authorize the holder thereof to sell and distribute alcohol to holders of a class "C" alcohol distributor's permit or to holders of permits issued pursuant to §91 and §92 of of the Alcoholic Beverage Control Law.

Class "C" Permit, Fee-$**500.00** (3 years) shall authorize the holder thereof to sell and distribute alcohol to holders of permits issued pursuant to §92 of of the Alcoholic Beverage Control Law, and to use alcohol in the preparation by him of any of the products enumerated in §91. Such a permit may be applied for by and shall be issued only to a wholesale druggist.

Class "D" Permit, Fee- $**1,172.00** (3 years) shall authorize the holder thereof to sell and distribute alcohol to the holder of winery licenses. Such permit shall be issued only to a person holding a winery license under of the Alcoholic Beverage Control Law.

HOME WINE MAKING CENTER PERMIT - $145

Complete pages 1 through 3 and page 5. Be sure to select the correct type of permit and complete the signature sheet. This application is to be used by wineries and farm wineries to operate a "home wine making center" where the permittee provides to individuals, for a fee, ingredients and equipment for the production of wine for that individual's personal household or family use and not for resale in accordance with federal law, rules and regulations that authorize the production of wine for household personal or family use and which wine is deemed tax exempt by the Federal Alcohol and Tobacco Tax and Trade Bureau.

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RETAIL BEER FUNCTION PERMIT (ANNUAL)- FEE - $ 74.00

Complete pages 1 through 3. Be sure to select the correct type of permit and complete the signature sheet. This application is to be used by a Brewer or Beer Wholesaler for the issuance of an Annual Beer Function Permit, pursuant to §97.2 of the Alcoholic Beverage Control Law, to authorize the sale of beer for consumption only and not for resale, at outdoor or indoor gatherings, functions, occasions or events to which the general public will not be admitted and to which admission is not made contingent upon the payment or an admission fee, donation or contribution. Any person who purchases beer for consumption at the gathering must execute and file with the permittee a statement that the beer will not be re-sold or offered for sale.

WINE PRODUCTS PERMIT- § 79-a Off Premises $192 (Population over 100,000); $96 (All Other Locations)
§ 79-b On Premises - Fee $165 (Population over 100,000); $ 83 (All Other Locations)

Complete pages 1 through 3 and page 6. Be sure to select the correct type of permit and complete the signature sheet. Permission is granted to the licensee hereafter designated under § 79-a and §79-b of Alcoholic Beverage Control Law, to sell Wine Products as defined in §3(36-a) of the Alcoholic Beverage Control Law. Premises located in a city with a population of 100,000 or greater will be required to pay the higher fee.

PERMIT FEE CHART

CODE	TYPE OF PERMIT	FEE	ORIGINAL FILING FEE	BOND
BE-724	Alcoholic Beverage Education Permit (1 Day)	$20	$5	None
BE-617	Alcoholic Beverage Education Permit (Annual)	$250	$20	None
TE-721	Craft Beverage Tasting Event Permit (1 Day)	$26	$10	None
DA-633	Distributor's Alcohol Permit "A" (3 years)	$4,800	$20	None
DR-634	Distributor's Alcohol Permit "B" (3 years)	$2,400	$20	None
DC-635	Distributor's Alcohol Permit "C" (3 years)	$480	$20	None
DD-636	Distributor's Alcohol Permit "D"(3 years)	$1,152	$20	None
MW-604	Home Wine Making Center Permit (Annual)	$124	$20	None
RB-601	Retail Beer Function (Annual)	$64	$10	None
AW-141	Wine Product Permit Off Premises (Annual) (Cities with population over 100,000) (All Other Locations)	 $192 $ 96	 None None	 None None
OW-141	Wine Product Permit On Premises (Annual) (Cities with population over 100,000) (All Other Locations)	 $165 $ 83	 None None	 None None

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MISCELLANEOUS PERMIT II APPLICATION

Type of Permit you are applying for:

Name of Applicant :
(Sole Proprietor, Partnership, Corporation, LLC, LLP, LP, etc.)

Trade Name(DBA): *See instructions. You must provide a trade name or indicate that no trade name will be used.*

Street Address of the Main License or Primary Business Office:

City: , NY Zip Code: County:

Federal Identification Number (FEIN):

License Number: Date Issued:

Mailing Address of Applicant:

City: , NY Zip Code: County:

PERMIT ADDRESS INFORMATION

Venue /Premises Street Address for which the permit is sought :

City: , NY Zip Code: County:

Telephone Number:

E-mail address:

Name of Landlord/Property Owner:

CONTACT INFORMATION

Name of Contact ◯Attorney ◯Representative ◯Contact Person

Office Address

City: State Zip Code

Telephone Number of Office (Include Area Code)

E-mail Address (if available)

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MISCELLANEOUS PERMIT II APPLICATION

CONVICTION INFORMATION

Has the applicant, or any partner, officer, director stockholder, LLC member/manager, or any agent or employee of the applicant, ever been **CONVICTED** (including any pleas of guilty or suspended sentences) of any felony or of any other crime or offense of any kind except traffic violations? ◯ Yes ◯ No

If **Yes,** please submit in each case a CERTIFICATE OF DISPOSITION or a CERTIFICATE OF CONVICTION by the Court Clerk. If convicted of a felony, provide a CERTIFICATE OF RELIEF FROM DISABILITIES.

LICENSE AND PERMIT HISTORY

Does the applicant, or any partner, officer, director stockholder, or LLC member/manager have any interest, directly or indirectly, in any premises or business where any alcoholic beverage is manufactured or sold at wholesale or retail, whether by stock ownership, interlocking directors, mortgage or lien on, or ownership of any real or personal property, or by any other means including loans? ◯ Yes ◯ No

If Yes, provide the license serial numbers:

Has the applicant filed simultaneously with this application an application for any other type of license for the premises for which this application is filed for: ◯ Yes ◯ No

TO BE COMPLETED BY APPLICANTS FOR DISTRIBUTOR'S ALCOHOL PERMITS ONLY

Will any other business of any kind will be carried on in said premises: ◯ Yes ◯ No

If Yes, provide details regarding the type of business

How long applicant has been engaged in this business?:

Does the applicant hold any federal permits issued through the Alcohol and Tobacco Tax and Trade Bureau? ◯ Yes ◯ No

If Yes, provide details regarding purpose of the permit and the amount of alcohol manufactured or purchased thereunder:

Does the applicant own, lease or operate any branch office, warehouse, storage or distributing plant other than at the premises to be licensed?: ◯ Yes ◯ No

If Yes, give the address and state the purpose of that premises and the use of the alcohol therein:

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MISCELLANEOUS PERMIT II APPLICATION

THE FOLLOWING CERTIFICATION MUST BE SIGNED AND DATED BY ALL INDIVIDUAL APPLICANTS OR BY EVERY MEMBER OF AN APPLICANT PARTNERSHIP.

I certify that I am the applicant above named; that I know the contents of the above application and the statements contained therein and that the same are true to my knowledge. I certify that I have read the conditions for the permit applied for and agrees to comply with those conditions.

Signature	Residential Address	Date

Type the name signed above

Signature	Residential Address	Date

Type the name signed above

Signature	Residential Address	Date

Type the name signed above

THE FOLLOWING CERTIFICATION MUST BE SIGNED AND DATED BY AN AUTHORIZED REPRESENTATIVE OF ANY APPLICANT CORPORATION OR LIMITED LIABILITY COMPANY.

I certify that I know the contents of the above application and the statements and answers therein; that the same are true to my knowledge; that I have been authorized, by order of the Board of Directors of said applicant corporation, group or association to make the statements and answers in this application on behalf of said corporation or company with the same force and effect as if said corporation or company made such statements and answers itself. I certify that I have read the terms and conditions for the permit applied for and agree to comply with those conditions.

Signature	Title	Date

Type the name signed above

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MISCELLANEOUS PERMIT II APPLICATION

AFFIDAVIT IN SUPPORT OF THE APPLICATION FOR AN ALCOHOLIC BEVERAGE EDUCATION PERMIT

In order to induce the New York State Liquor Authority to issue the applied for permit , the undersigned stipulates that the applicant understands and will comply with each of the following conditions for the duration of the permit:

1) All participants in such class or seminar will be at least twenty-one years of age;

2) The participants' imbibing or tasting of such alcoholic beverages are a part of the class or seminar;

3) The permittee does not hold any license issued under the Alcoholic Beverage Control Law;

4) No tasting of alcoholic beverages shall take place during the hours prohibited by the provisions of ABCL §106(5);

5) The curriculum and location for such class or seminar must approved by the License Board;

6) The permittee will not solicit or accept orders for alcohol; and

7) The permittee will purchase all alcohol products for used in the classes/seminars from licensed manufacturers, licensed wholesalers or private collections.

Signature

Date

Type the name signed above

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MISCELLANEOUS PERMIT II APPLICATION

AFFIDAVIT IN SUPPORT OF THE APPLICATION FOR A HOME WINE MAKING CENTER PERMIT

In order to induce the New York State Liquor Authority to issue the applied for permit , the undersigned stipulates that the applicant has read, understands, and will comply with each of requirements and restrictions specified in the State of New York Liquor Authority Advisory #2015-15. I further stipulate that the applicant will read and comply with all amendments contained in any and all subsequent advisories should Advisory #2015-15 be rescinded.

Signature	Date

Type the name signed above

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MISCELLANEOUS PERMIT II APPLICATION

AFFIDAVIT IN SUPPORT OF THE APPLICATION FOR A WINE PRODUCT PERMIT

In order to induce the New York State Liquor Authority to issue the applied for permit , the undersigned stipulates that the applicant understands and will comply with each of the following conditions for the duration of the permit and all renewals:

1. The applicant must hold a base retail beer license to operate a grocery store, a drug store, or an eating place; and the premises licensed must be open and operating.

2. This Wine Product Permit will only remain valid so long as the base license remains in effect.

3. The sale of wine products under the permit shall be subject to the same rules, restrictions and conditions, as apply to the base retail beer license.

4. The permittee shall present the permit for inspection upon demand by any peace officer, law enforcement, or any duly authorized representative of the Liquor Authority.

5. Said permit shall be placed on display at all times next to base retail beer license so that it will be plainly visible.

6. Sale of wine products to anyone under the age of twenty-one is prohibited and may subject the permittee to revocation of both the Wine Products Permit and base retail beer license.

Signature	Date

Type the name signed above

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