

Petition for Removal

Manufacturers

This petition is to be used by a licensee for permission to remove the licensed premises to a new location.

This petition can only be used by a licensee that is currently licensed. If a license is set to expire while this application is being processed, the license for the present location must be renewed even if the license is in Safekeeping.

The Petition must be completed and accompanied by the appropriate documentation as listed in the Instructions portion below as well as a check or money order for the required fee, payable to the New York State Liquor Authority.

The fees are as follows: \$192 when the annual license fee is \$500 or more; \$32 in all other instances.

(The law does not provide for any refund of removal fees prescribed under Section 99-d.)

INSTRUCTIONS:

All Removal applications must be accompanied by the following documentation:

- 1) Lease, Deed or Contract of Sale of the property.
- 2) Any contracts for the purchase of the real property.
- 3) Exterior and Interior Diagrams of the proposed premises (must be submitted on 8 ½" x 11" paper). Clearly label all rooms and use of doors. *If serving/selling Liquor a block plot diagram is also required.*
- 4) Exterior and Interior photographs of the proposed premises.
- 5) Amended Certificate of Authority reflecting the proposed address.
- 6) Bond Rider reflecting the proposed address.
- 7) Financial documentation showing the availability of the funds listed on the List of Expenses.
- 8) Amended Federal Basic Permit (TTB Permit) with new address.
- 9) The following sections of the applicable application as attached:
 - a. Right to Premise
 - b. Landlord Identification
 - c. Financial Disclosure
 - d. Establishment/Premise Questionnaire
 - e. Method of Operation
 - f. Applicant's Statement
 - g. Notice of Appearance, if applicable

Mail completed application to:
New York State Liquor Authority
PO Box 782772
Philadelphia, PA 19178-2772



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APPLICATION

Full name of licensee: Current serial number:

PRESENT premises address: City:

County: Zip code: Telephone number:

License Type: Class: Current expiration date:

PROPOSED premises address: City:

County: Zip code: Telephone number:

Mailing address, if different:

City: State: Zip code:

[OFFICE USE ONLY]	
DATE FILED: <input type="text"/>	SERIAL #: <input type="text"/>
Approved <input type="radio"/> Disapproved <input type="radio"/>	<input type="text"/>
	License Board Member Date

OFFICE USE ONLY
Original Amended Date

RIGHT TO PREMISES

1. RIGHT TO PREMISES

1a. By what right does the applicant have possession of the premises?

- Own Lease Sub-Lease Binding contract to acquire real property Written intent to lease

Other (explain):

Empty box for explaining other possession rights.

If leasing, the lease must run for the full term of the license period or at least be renewable to cover the full term. Month to month leases or month to month renewal terms are not acceptable. The tenant name on the lease must match the applicant name exactly.

1b. Do the terms of the lease or other arrangement require the applicant to provide any consideration based on a percentage of the receipts of the business? Yes No

If YES, please list the section/page of the lease this information can be found:

Empty box for listing lease details if YES.

2. OTHER INTERESTED PARTIES

Does or will anyone other than the applicant/principals share on a percentage basis or in any way in the receipts, losses or deficiencies of the business to any extent whatsoever?

- Yes No

If YES, please state the names and addresses of such persons, the nature and percent of their share and date acquired.

Table with 4 columns: Name, Address, Nature of interest, Date Acquired. Contains 4 empty rows for data entry.

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<input type="radio"/> Original	<input type="radio"/> Amended	Date _____

LANDLORD IDENTIFICATION INFORMATION

In order to obtain the most accurate information this form should be completed by the Landlord. This form must be completed and submitted regardless of whether the property owner is a third party landlord or the applicant.

1. Name of Landlord *(as it appears on lease and deed)*:

2. Landlord Mailing Address

Street Address:

City:

State:

Zip Code:

3. Telephone Number of Landlord:

4. Landlord Principals (ALL landlord principals must be disclosed below)

Name

Address (if different than Landlord's mailing address above)

Name

Address (if different than Landlord's mailing address above)

Name

Address (if different than Landlord's mailing address above)

Name

Address (if different than Landlord's mailing address above)

5. Are any persons listed on this Landlord Identification Form currently or previously licensed under the ABC Law?

Yes No

Serial Number

Licensee Name

Serial Number

Licensee Name

Serial Number

Licensee Name

6. Are any persons listed on this form police officers?

Yes No

If yes, list names below:

Name

Name

7. List number of years real property has been owned or legally controlled by the landlord:



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FINANCIAL DISCLOSURE

Applicants must demonstrate the costs and the sources of funding for this venture. All investors must be disclosed. Personal Questionnaires must be submitted for all investors, joint account holders, donors or lenders (excluding banking institutions).

The Total Investment (Total Cash plus the Total Borrowed) must equal or exceed the Total Expenses.

1. EXPENSES (Actual or Estimated)

1a. Real Property (if purchased within the past year by the applicant or any of its principals):	
1b. Purchase/Contract Price of Business (submit copy of contract):	
1c. Renovations/Improvement Costs (e.g., furnishings, fixtures, etc.):	
1d. Miscellaneous (any other expense related to this venture):	
TOTAL EXPENSES <small>Total of lines 1a through 1d</small>	0

2. CASH*

*Cash includes funds on hand that do not need to be repaid. For example, checking or savings accounts or gifted funds. Attach copies of bank statements or other financial documentation for **EACH** source of cash.

2a. Source of Funds	Personal Questionnaire attached <input type="checkbox"/>	Dollar Amount
2b. Source of Funds	Personal Questionnaire attached <input type="checkbox"/>	Dollar Amount
2c. Source of Funds	Personal Questionnaire attached <input type="checkbox"/>	Dollar Amount
TOTAL CASH <small>Total of All Cash Expended</small>		0

3. BORROWED*

*Borrowed funds include funds that must be repaid. For example, loans, mortgages, lines of credit and promissory notes. Attach copies of agreements or other financial documentation for **EACH** source of borrowed monies.

3a. Source of Funds	Personal Questionnaire attached <input type="checkbox"/>	Dollar Amount
3b. Source of Funds	Personal Questionnaire attached <input type="checkbox"/>	Dollar Amount
3c. Source of Funds	Personal Questionnaire attached <input type="checkbox"/>	Dollar Amount
TOTAL BORROWED <small>Total of All Borrowed Funds</small>		0

4. Have all investors been disclosed in this application?

Yes No

TOTAL INVESTMENT <small>Total Cash plus Total Borrowed</small>	0
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The following person(s) MAY NOT invest in a retail license to traffic in alcoholic beverages: convicted felons, persons under the age of twenty-one (21), police officers and anyone with an interest in a wholesale or manufacturing license.

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<input type="radio"/> Original	<input type="radio"/> Amended Date _____

PREMISES QUESTIONNAIRE

1. Describe the area where the premises is to be located: Residential Business Agricultural

1a. State what the area is zoned for:
(e.g., Residential, Business, Mixed etc.)

2. Premises

a. Please provide a description of the premises to be licensed. Describe all building/structures that will be utilized in business operations including the number of floors in each. (e.g., 2 story free-standing barn on slab foundation, 2 acre farm with 2 story winery including a basement, etc.)

b. Has the building/premises been known by any other address? Yes No
If YES, please specify:

c. Has the premises to be licenses and/or any other floor in the building been previously licensed or are either currently licensed to traffic in alcoholic beverages? Yes No

d. What was the prior use of the premises to be licensed?

e. Does the proposed location of the business comply with all state and local regulations and zoning codes? Yes No

f. Is there interior access to any other floor(s) that will not be part of the licensed premises? Yes No
If YES, please list floor(s) and means of access to each floor (e.g., stairs, elevator, etc. - must be shown on diagram). List use of floor(s) (e.g., apartments, offices, etc.).

g. Does any other person have access to this area? Yes No

h. Where will the alcohol be stored? (applicants with an off site location for storage area may require a warehouse permit).

i. If applying for a Farm Winery License, Special Farm Winery License or Micro Winery License, the premises **must be** located on a farm. In the box below, please provide a detailed description of the agricultural production that qualifies the premises as a farm.

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<input type="radio"/> Original	<input type="radio"/> Amended	Date

METHOD OF OPERATION

All applicants for a license to manufacture alcoholic beverages must complete this section

Tenant Manufacturers must provide an alternate proprietorship agreement with the existing licensed Host Manufacturer.

Manufacturers must provide a detailed statement explaining their planned method of operation and describe their production methods, including the quantity of product to be produced annually. Farm Manufacturers must demonstrate that they will only produce alcohol in compliance with the provisions of the Alcoholic Beverage Control Law that govern the use of New York State grown and produced ingredients.

Is a detailed Method of Operation attached to this form? Yes No

PLEASE SUPPLY A COPY OF THE FEDERAL BASIC PERMIT OR BREWER'S NOTICE, IF APPLICABLE

1. Will any other business of any kind be conducted on said premises? Yes No
(If YES, please provide details on a separate sheet)

2. Please check all activities that will be engaged in on the premises:

<input type="checkbox"/> Retail sales for off premises consumption	<input type="checkbox"/> Internet Sales	
<input type="checkbox"/> Retail sales for on premises consumption	<input type="checkbox"/> Tastings	<input type="checkbox"/> None of these

3. How many employees?

3a. If answer is "0" please provide explanation.

3b. NYS Law requires businesses to carry workers' compensation and disability insurance (see instructions).

Workers' Compensation Carrier Name and Policy Number:

Disability Insurance Carrier Name and Policy Number:

PLEASE CONTACT THE AUTHORITY AT 518-474-3114, EMAIL licensing.information@sla.ny.gov OR VISIT OUR WEBSITE AT WWW.SLA.NY.GOV FOR INFORMATION ON OTHER LICENSES OR PERMITS THAT MAY BE REQUIRED.



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<input type="radio"/> Original	<input type="radio"/> Amended	Date _____

APPLICANT STATEMENT

I, [print name]

(the sole proprietor, partner, corporate principal or, LLC/LLP member)

understand that the State Liquor Authority will rely on each and every answer in the application and accompanying documents in reaching its determination and state, under penalty of perjury, that all statements and representations therein are true to the best of my knowledge and belief; and

I state that the location and description of the premises to be licensed does not violate any requirement of the ABC law or other state or local ordinances; and

I understand that if any change occurs in the information provided to the Authority in the application, the licensee must notify the Authority by certified mail within 48 hours and if any change occurs after receipt of the license, the licensee must notify the Authority by certified mail within 10 days. I understand that failure to give such notice may result in disapproval of the application or revocation or non-renewal of any license for which this application is submitted; and

I understand that the licensee will be bound by the statements and representations made in the application, including, but not limited to the licensee's method of operation and the identity of persons with an ownership or financial interest in the licensed premises; and that all statements and representations made become conditions of the license; and

I understand that any physical alterations to, or changes to the size of the area used for the sale and consumption of alcoholic beverages, must be reported to the Authority and may require the approval of the Authority; and

I understand that the licensee must keep the Authority advised of any change in the mailing addresses of the licensee, the licensee's principals, and the licensee's landlord.

I understand that the licensee's failure to operate the licensed premises in accordance with the statements and representations made in the application may result in revocation of any license for which the application was submitted; and

I understand that any false statement or misrepresentation will constitute cause for disapproval of the application or revocation or non-renewal of any license for which this application is submitted.

Signature

Date

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<input type="radio"/> Original	<input type="radio"/> Amended	Date _____

STATE OF NEW YORK NOTICE OF APPEARANCE

Section 166 of the Executive Law requires a regulatory agency to maintain for public inspection, a record of who appears before it, for a fee as a third party (e.g., an attorney, an agent, lobbyist*, or representative) on behalf of a person or organization subject to the regulatory jurisdiction of the agency. This usually occurs when the third party's client is involved in an enforcement, formal permit, or application matter. *This form is subject to all the rules and regulations of the Freedom of Information Law. Information that is confidential as a matter of law need not be furnished.*

Agency: NYS Liquor Authority / Division of Alcoholic Beverage Control

Date:

Division / Bureau:

1. Name of individual appearing:

Address:

Telephone:

E-mail:

2. Client represented:

Address:

Telephone:

3. Subject of appearance: Regulatory / Enforcement Lobbying

4. Acting in the capacity of: Attorney Lobbyist Agent Other (describe below)

Description:

5. Are you being compensated: Yes No
If YES, Check FEE or SALARY: FEE SALARY

6. Signature of individual appearing: _____

7. Agency official (printed name):

Signature: _____

*A LOBBYIST is a person or organization, other than a New York State government employee acting in an official capacity, who appears for the purpose of influencing the adoption or rejection of proposed rules, regulations, rates, legislation, including the State budget or the specification or award of a State Procurement Contract. An "appearance" for lobbying purposes can be a personal visit, letter, telephone call, conversation at a meeting, or any other type of contact, but does not include "on the record" proceedings or hearings.