

(Series 1969)  
Bulletin #413  
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TO: WHOLESALEERS AND MANUFACTURERS OF LIQUOR, WINE AND BEER  
AND (BK) BROKER PERMITTEES

SUBJECT: PURCHASE OF ALCOHOLIC BEVERAGES

Section 93a of the Alcoholic Beverage Control Law provides for the issuance of Broker's Permits to persons who act as brokers in the purchase and sale of alcoholic beverages.

Rule 25 of the Rules of the State Liquor Authority sets forth the restrictions upon the issuance and use of Broker's Permits and the requirements as to books and records to be kept by the permittee.

A wholesaler or manufacturer may not be a Broker Permittee. It is contemplated that the permittee is an independent contractor acting as a broker and is not a principal, or employee or salesman of a principal. He shall not buy or sell for his own account, take or deliver title, or receive and store alcoholic beverages.

A Broker's Permittee may act only as an intermediary between wholesalers and manufacturers and may not negotiate with retailers and/or permittees. Where retailers or permittees are the consignees of alcoholic beverages in transactions initiated by brokers, wholesalers and manufacturers are on notice that such transactions are unauthorized. Wholesalers and manufacturers may not accept orders or instructions from a broker permittee in any transaction in which retailers, MY (Manufacturer of Non-beverage Products) permittees, SY (Steamship) permittees, AY (Aircraft) permittees or any other permittees participate.

A Broker's Permit may be issued to a corporation, a partnership, or an individual. If the permittee is a corporation or a partnership the officers of the corporation or the members of the partnership may act as brokers. Solicitors Permits are not issued to employees or Broker's Permittees. Broker's Permittees may not use samples and may not advertise.

STATE LIQUOR AUTHORITY



D. S. Hostetter  
Chairman